Alder Capital

PMS CLOSURE FORM

Date | D | D | M | M | Y | Y |

To,
Fund Manager/ Portfolio Manager
Alder Capital Advisors LLP
503, Morya Blue Moon, Off New Link Road,
Andheri-West, Mumbai-400053

Respected Sir,

Subject: Request for Closure of PMS Account

I/We hereby request you to close my/our below PMS Account by way of selling/redeeming all Securities/MF and transfer the closure proceeds in the mentioned Bank Account. I/We understand that the closure process will take atleast 5 working days from the day the request has been submitted. I/We also understand that the closure proceeds will be net-off all fees and expenses till the date of closure.

Name of Sole / First Holder (First / Middle / Surname) / Name of the Entity

Name of Second Holder (First / Middle / Surname)

Name of Third Holder (First / Middle / Surname)

(Please tick (√) whichever is applicable)

☐ Bank account registered at the time of Application

OR

☐ Below mentioned Account

Bank A/c. No.																													
Bank Name																													
Branch name																													
City												State																	
Pin Code																													
MICR Code										IFS Code																			

Any one proof required from the following list: (if bank not registered)

1. Cancelled Cheque with preprinted name
2. Bank Statement (Certified True Copy) or Letter from Banker with Seal & Signature
3. Bank Pass Book

Signature of First / Sole Holder / Authorized Signatory

Signature of Second Holder / Authorized Signatory

Signature of Third Holder / Authorized Signatory

AXIS BANK

APPLICATION FOR CLOSING DEMAT ACCOUNT

(For Beneficiary Account only)

To

Axis Bank Ltd.,
Depository Services, Gigaplex, Building no. 1,
4th Floor, Plot no. I.T.5, MIDC, Airoli Knowledge Park
Airoli, Navi Mumbai – 400708
dp.operations@axisbank.com

Date: _____

I/We hereby request you to close my/our account with you as per following details:

DP ID: | I | N | 3 | 0 | 0 | 4 | 8 | 4 |

Client ID: | | | | | | | | |

1) Please tick the applicable option(s)

☐ **Option A** [There are no balances/holdings in this account]

☐ **Option B**

[Transfer the balances / holdings in this account as per details given]

☐ Transfer to my/our own account (Provide target account details and enclose Client Master Report of Target Account)

☐ Transfer to any other account (submit duly filled Delivery Instruction Slip signed by all holders)

Target Account Details

☐ NSDL	DP ID	
☐ CDSL	Client ID	

☐ **Option C** [Rematerialise/Reconvert (Submit duly filled Remat/Reconversion Request Form-for mutual fund units)]

2) Reasons for closure of depository account (Please tick the reasons for closing the Demat Account)

☐ Consolidation of Accounts ☐ Shifting to a new location where Axis Bank is not present

☐ Unsatisfactory services ☐ High Charges ☐ Others (Please specify) _____

_____

3) Confirmation for delivery instruction slips

☐ I/We confirm to have surrendered all unutilized delivery instruction slips

☐ I/We confirm to have exhausted / misplaced all delivery instruction slips

4) Mode of payment for outstanding dues (if any)

☐ **Cash Payment**

☐ Please debit my Axis Bank SB A/c No. | | | | | | | | | | | | | | | |

for recovery of my pending dues against my demat account.

Signatures(s) (as per the Bank A/c)

_____ (First/Sole Holder)

_____ (Second Holder)

_____ (Third Holder)

Signature
(with seal of the branch)

	Name(s) (as per the Demat A/c)	Signatures(s) (as per the Demat A/c)
(Sole/First Holder)		
(Second Holder)		
(Third Holder)		

Instructions: 1. Relevant portions to be filled in. 2. Please strike off as N.A. whatever is not applicable. To be submitted in duplicate.

AXIS BANK

Checklist
(To be filled in by Axis Bank Officials)

I confirm having checked all the below mentioned points for the Client ID: | | | | | | | | |

- ☐ Name of the beneficiary owner(s) match with the DP system.
- ☐ Signature(s) of the beneficiary owner(s) match with the DP system.
- ☐ Copy of PAN Card / Proof of Identity / Proof of Address collected for suspended Demat Account (if applicable).
- ☐ No holding exists in the Demat Account / in case of holding target Demat Account Details mentioned on the Demat Account closure request.
- ☐ All pending dues have been recovered by:-

  - **Direct debit**
    Bank a/c no debited | | | | | | | | | | | | | | | |
    Transaction ID _____ Date: _____

  - **Cash Payment**
    Bank a/c no credited | | | | | | | | | | | | | | | |
    Transaction ID _____ Date: _____

_____
Signature
(with seal of the branch)

Date: _____

- Name of the official _____
- Employee Number _____
- Sol ID _____