

## Annexure- B

### Complaint Record for Alder Capital Advisors LLP

(Required as per SEBI Circular No. SEBI/HO/IMD/IMD-II DOF7/PICIR/2021/681 dated 10<sup>th</sup>  
December 2021)

Data for the month ending- 31<sup>st</sup> January 2024.

Sr N	Received from	Pending at the End of last Month	Received	Resolved	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0.00	0.00	0.00	0.00	0.00	0.00
2	SEBI (SCORES)	0.00	0.00	0.00	0.00	0.00	0.00
3	Other Sources (if any)	0.00	0.00	0.00	0.00	0.00	0.00
	Grand Total	0.00	0.00	0.00	0.00	0.00	0.00

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2023	0.00	0.00	0.00	0.00
2	May, 2023	0.00	0.00	0.00	0.00
3	June, 2023	0.00	0.00	0.00	0.00
4	July, 2023	0.00	0.00	0.00	0.00
5	August, 2023	0.00	0.00	0.00	0.00
6	September, 2023	0.00	0.00	0.00	0.00
7	October, 2023	0.00	0.00	0.00	0.00
8	November, 2023	0.00	0.00	0.00	0.00
9	December, 2023	0.00	0.00	0.00	0.00
10	January 2024	0.00	0.00	0.00	0.00
	Grand Total	0.00	0.00	0.00	0.00

\*Inclusive of complaints of previous months resolved in the current month #Inclusive of complaints pending as on the last day of the month.

#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	0.00	0.00	0.00	0.00
2	2019-20	0.00	0.00	0.00	0.00
3	2020-21	0.00	0.00	0.00	0.00
4	2021-22	0.00	0.00	0.00	0.00
5	2022-23	0.00	0.00	0.00	0.00
6	2023-24 (YTD)	0.00	0.00	0.00	0.00
	Grand Total	0.00	0.00	0.00	0.00

\*\*\* Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.